| G.S. 150B-21.3A Report | for 18 NCAC Ch | apter 4, CORPORA | TIONS DIVISION | | | | | |
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| Agency - Secretary of State | • | | | | | | | |
| Comment Period - Filled in by Date Submitted to APO - Filled | | | | | | | | |
| Rule Section | Rule Citation | Rule Name | Date and Last Agency Action on the Rule | Agency Determination [150B-21.3A(c)(1)a] | Required to Implement or Conform | Federal Regulation Citation | Public Comment Received [150B- 21.3A(c)(1)] | Agency Determination Following Public Comment [150B-21.3A(c)(1)] |
| SECTION .0100 - GENERAL PROVISIONS | 18 NCAC 04 .0101 | LOCATION AND HOURS | Amended Eff. April 1, 2003 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| | 18 NCAC 04 .0102 | ADMINISTRATION AND FUNCTIONS | Amended Eff. March 1, 1996 | substantive public | No | Not applicable | No | Necessary without substantive public interest |
| SECTION .0200 PAYMENT OF FEES AND TAXES | 18 NCAC 04 .0201 | TENDER OF FEE | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| | 18 NCAC 04 .0202 | FORM OF PAYMENT | Eff. February 1, 1976 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| | 18 NCAC 04 .0203 | INVOICES | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| | 18 NCAC 04 .0204 | CANCELLATION FOR NONPAYMENT | Eff. February 1, 1976 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| | 18 NCAC 04 .0205 | OVERPAYMENT | Amended Eff. March 1, 1996 | Unnecessary | No | Not applicable | No | Unnecessary |
| | 18 NCAC 04 .0206 | DOCUMENTS NOT SPECIFICALLY PROVIDED FOR | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| SECTION .0300 FILING OF DOCUMENTS | 18 NCAC 04 .0302 | EXECUTION | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| | 18 NCAC 04 .0303 | REJECTION | Amended Eff. March 1, 1996 | Unnecessary | No | Not applicable | No | Unnecessary |
| | 18 NCAC 04 .0304 | IDENTIFICATION OF CORPORATION/LLC AND TYPE OF DOCUMENT | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| | 18 NCAC 04 .0305 | CORRECTIVE FILINGS LIMITED PARTNERSHIPS | Amended Eff. March 1, 1996 | Unnecessary | No | Not applicable | No | Unnecessary |

| G.S. 150B-21.3A Report | for 18 NCAC Ch | apter 4, CORPORA | TIONS DIVISION | | | | | |
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| Agency - Secretary of State <mark>Comment Period - Filled in by A</mark> | gency | | | | | | | |
| Date Submitted to APO - Filled | | | | | | | | |
| Rule Section | Rule Citation | Rule Name | Date and Last Agency Action on the Rule | Agency Determination [150B-21.3A(c)(1)a] | Required to Implement or Conform | Federal Regulation Citation | Public Comment Received [150B- 21.3A(c)(1)] | Agency Determination Following Public Comment [150B-21.3A(c)(1)] |
| | 18 NCAC 04 .0306 | INCORPORATION BY REFERENCE | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| | 18 NCAC 04 .0307 | APPLICATION FOR RESERVATION OF NAME | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| | 18 NCAC 04 .0308 | REGISTERED OFFICE AND REGISTERED AGENT | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| | 18 NCAC 04 .0309 | ARTICLES OF AMENDMENT | Amended Eff. February 25, 1977 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| | 18 NCAC 04 .0311 | ART OF MERGER/SHARE EXCH INVOLVING FOREIGN ENTITY | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| | 18 NCAC 04 .0316 | FORM FOR ANNUAL REPORT | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| | 18 NCAC 04 .0317 | AUTHORIZATION OF CORRECTIONS ON DOCUMENTS | Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| | 18 NCAC 04 .0318 | AUTHORIZATION OF FOREIGN PROFESSIONAL CORPORATIONS | Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| SECTION .0400 CERTIFICATIONS | 18 NCAC 04 .0401 | DOCUMENTS | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| | 18 NCAC 04 .0402 | CERTIFICATION OF FACTS/CERTIFICATE OF EXISTENCE/AUTHORIZAT ION | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |
| SECTION .0500 CORPORATE NAME | 18 NCAC 04 .0501 | GENERAL | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest |

| G.S. 150B-21.3A Report for 18 NCAC Chapter 4, CORPORATIONS DIVISION | | | | | | | | | | |
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| Agency - Secretary of State | | | | | | | | | | |
| Comment Period - Filled in by Agency | | | | | | | | | | |
| Date Submitted to APO - Filled in by RRC staff | | | | | | | | | | |
| Rule Section | Rule Citation | Rule Name | Date and Last Agency Action on the Rule | Agency Determination [150B-21.3A(c)(1)a] | Required to Implement or Conform | Federal Regulation Citation | Public Comment Received [150B- 21.3A(c)(1)] | Agency Determination Following Public Comment [150B-21.3A(c)(1)] | | |
| | 18 NCAC 04 .0502 | WORDS PROHIBITED IN ADDITION TO STATUTORY PROHIBITIONS | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest | | |
| | 18 NCAC 04 .0503 | DECEPTIVELY SIMILAR AND DISTINGUISHABLE NAMES | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest | | |
| | 18 NCAC 04 .0504 | FILING FICTITIOUS OR ASSUMED NAME FOR FOREIGN ENTITY | Amended Eff. March 1, 1996 | Necessary without substantive public interest | No | Not applicable | No | Necessary without substantive public interest | | |